

BUSINESS ASSURANCE

WEBINAR: ISO 14001:2015 TRANSITION EXPERIENCES

24 January 2017

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OUR PURPOSE

TO SAFEGUARD
LIFE, PROPERTY
AND THE ENVIRONMENT

DNV GL - Global reach – local competence



150+
years

300+
offices

100
countries

14,000
employees



Tomorrow's successful companies will create value by meeting the world's **social, economic** and **environmental** needs.

We help you build **Sustainable Business Performance** through our global certification, verification, assessment and training services.

SUSTAINABLE VALUE and STAKEHOLDER TRUST

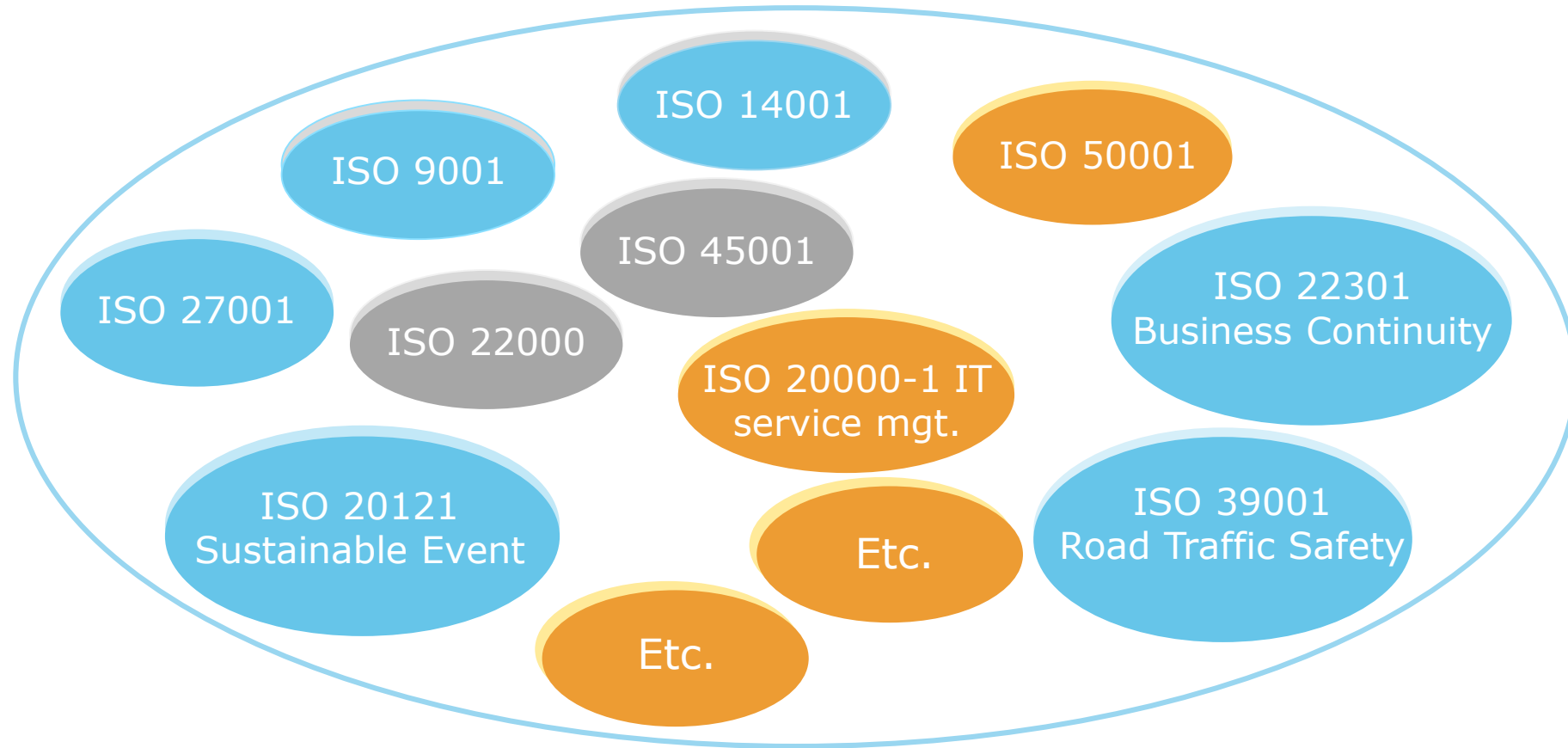
A Shared Ambition



- DNV GL:
 - Sustainability in everything we do. Partnering with our customers to build sustainable business performance and stakeholder trust, contributing to a safe and sustainable future.
- ISO:
 - Their vision is for the ISO standards to contribute to innovation and sustainable development

“In the longer term, we can expect sustainability to become a fundamental principle for ISO standards in just the same way as market relevance.”

ISO Management System Standards



■ Under revision/development following the HLS

■ Already published following the new High Level Structure

Sustainability Drives Success

ISO Standards Drive Sustainable Business Development and Performance

ISO 9001 – Operational Excellence

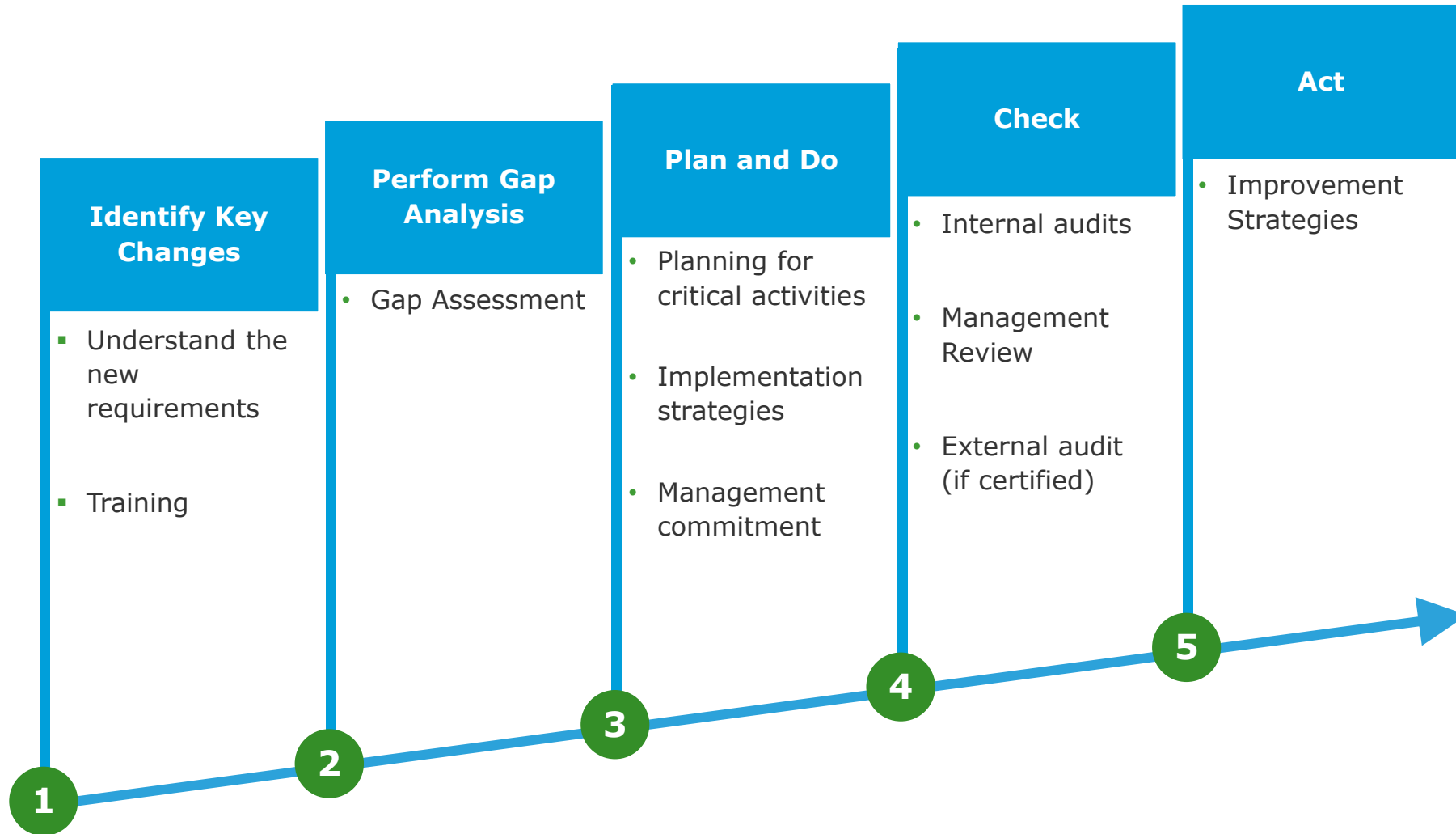
ISO 14001 – Environmental Stewardship

OHSAS 18001 – Health & Safety Performance

ISO 50001 – Energy Efficiency



Step by Step Transition



Transition Requirements

***DNVGL Target Deadline for Transition Audit:
15 June 2018***

▪ Important Dates

- 15 March 2017
- All new certifications or re-certifications will be issued against ISO 9001:2015 & ISO 14001:2015

- 15 September 2018
 - ISO 9001:2008 and ISO 14001:2004 mandatory expiration date, which is the withdrawal date of both standards
 - Certificate is issued after an upgrade surveillance or recertification audit against ISO 9001:2015 or ISO 14001:2015 has been performed

Transition to ISO 14001:2015

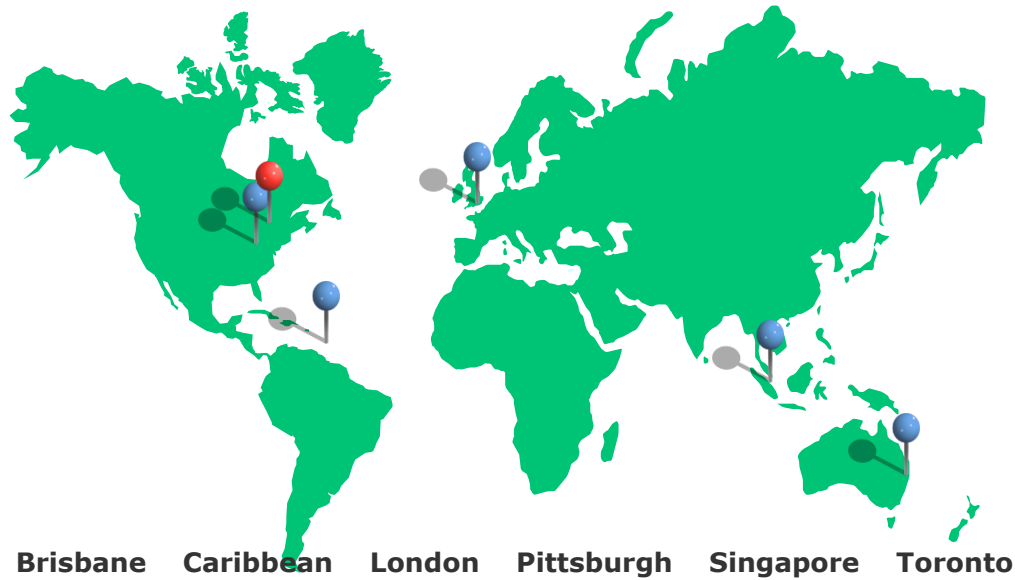
- A **Certificate** is issued after an upgrade surveillance or recertification audit against ISO 9001:2015 or ISO 14001:2015 has been performed
 1. DNV GL must be notified as far in advance as possible to complete the necessary contract amendment required
 2. Transition Checklist must be completed
 - Not required for new clients to ISO 14001:2015 or ISO 9001:2015
 3. Slight audit time increase
 - Function of size and complexity
 - Only for transition audit

Additional Resources

- DNV GL Resources:
 - Interpretive guidelines to ISO 14001:2015
 - <http://www.dnvglstore.com/product-p/int14001-printed.htm>
 - Documentation requirements summary chart ISO 14001:2015
 - ISO 14001:2015(IS) – Transition Checklist

About SatiStar Corporation

Business Performance Improvement Consulting & Training



Our Expertise

Our Mission:

To experience the joy of helping our clients excel at what they do.

ISO 14001 Transition Hot Topics

Outcomes

- Understand the critical few transition challenge areas
- Learn about some effective countermeasures
 - Best practices
 - Suggestions and tips
 - Examples

What's Changed?

Minor	Moderate	Significant
Environmental policy	Environmental objectives and planning to achieve them	Context of the organization
Leadership	Performance evaluation	Risks and Opportunities
Competence, training and awareness	Documented information	Life Cycle Approach
Management review	Communication strategy	Compliance Obligations
Internal audit	Emergency preparedness and response	
Corrective action		

Context of the Organization (4.1)

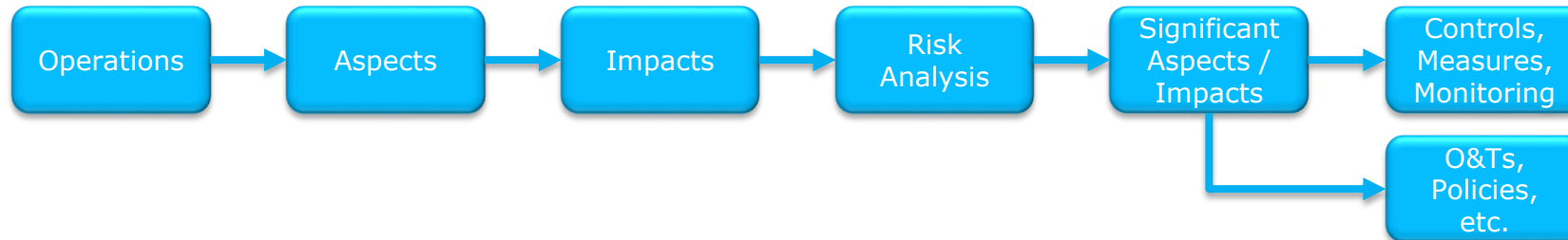
- Issues that may impact the organization’s ability to meet the performance expectations of its EMS
- Best Practice
 - Conduct a SWOT analysis
 - Create a Register that includes external and internal considerations



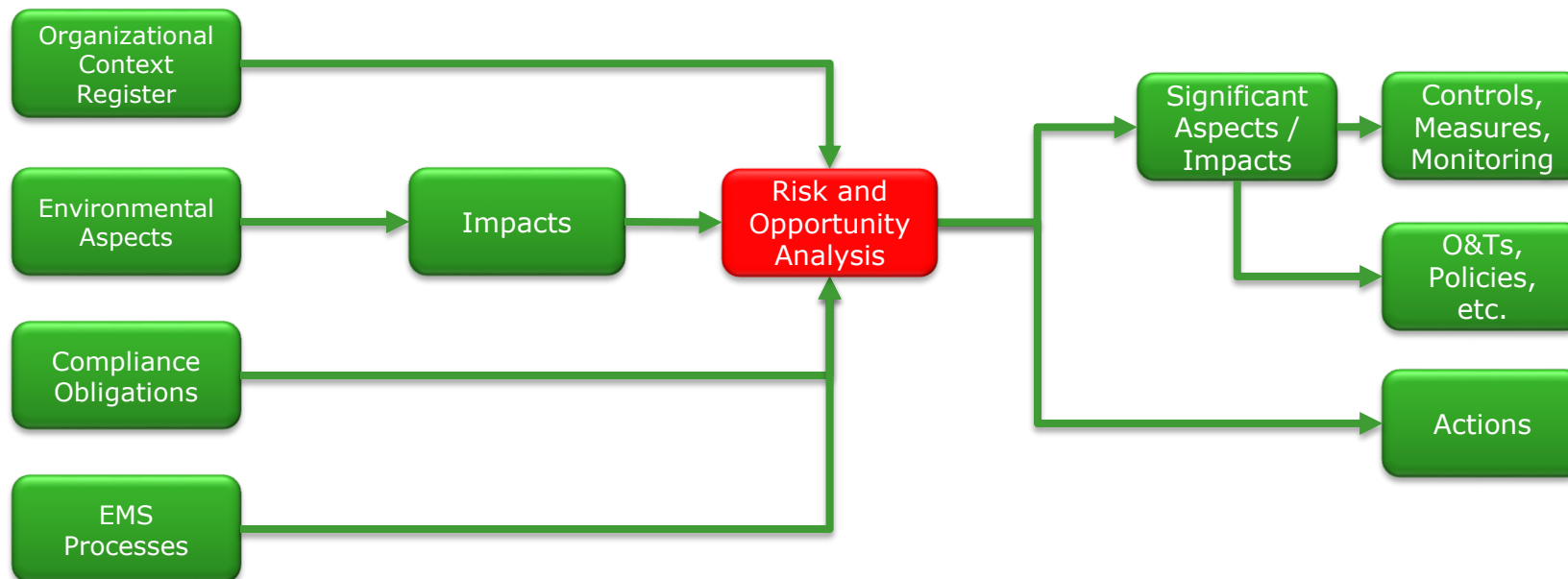
Source	Threat / Opportunity	Actual / Potential Impact on Environmental Performance	Existing Controls
External	Community perception	Reputation, complaints, ability to attract and retain employees, etc.	Communications plans, local environmental projects and engagement, recycling program
External	Regulatory	Ability to operate, fines, reputation, etc.	Legal Register, Compliance Assessments, procedures, monitoring / measuring
External	Customer perception / requirements	Reputation, future business, etc.	Communications plans, reverse logistics
Internal	Manufacturing technology	Energy usage, waste production, etc.	Procedures, EMS program, training, recycling / waste management, Lean Six Sigma
Internal	Employee attitude	Compliance to procedures, involvement, etc.	Employee engagement program, training, communications
...

Risks and Opportunities (6.1.1)

- All activities of an organization involve risks that should be managed
- Assessment historically focused on potentially adverse consequences:

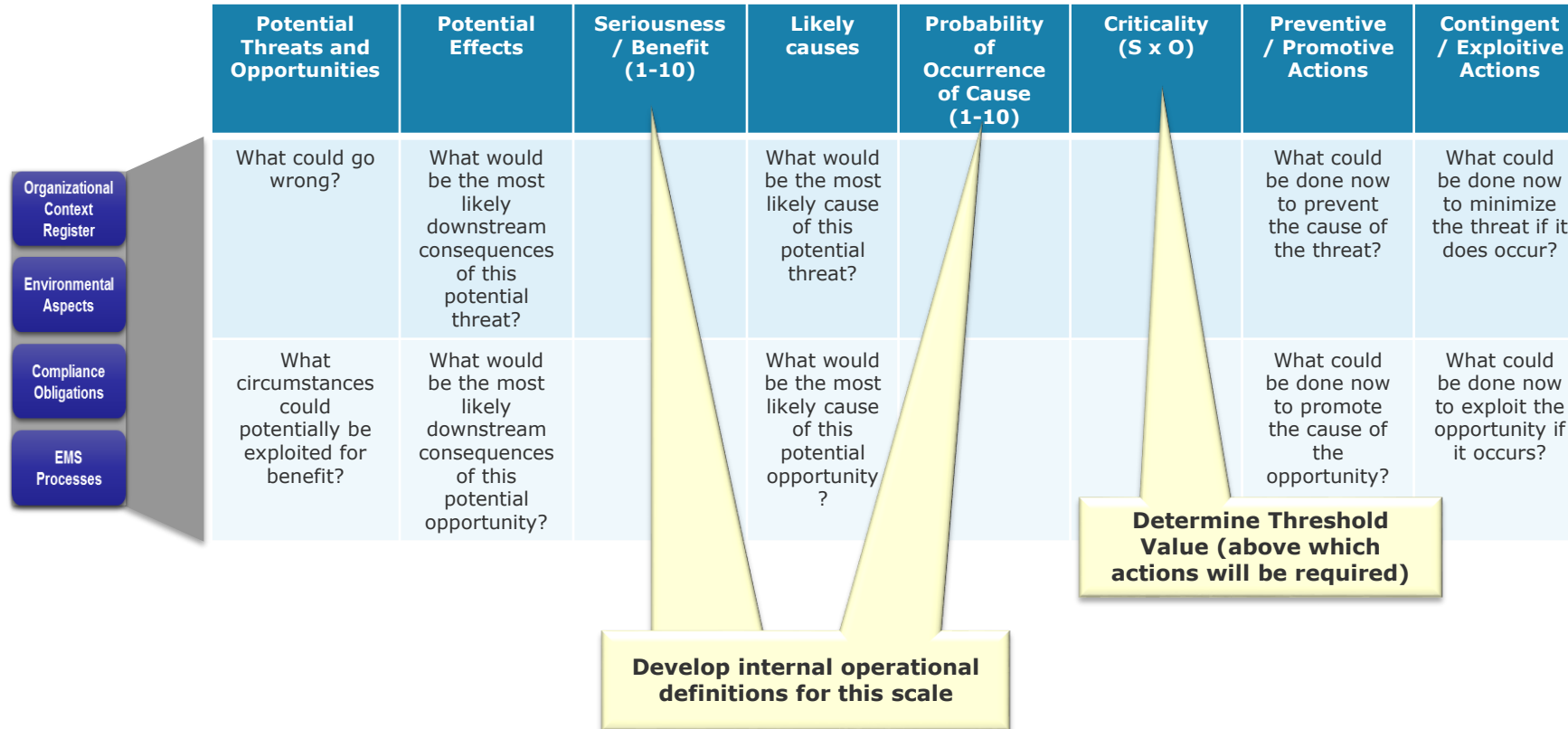


- Increased emphasis on Opportunities – identification of potentially beneficial consequences



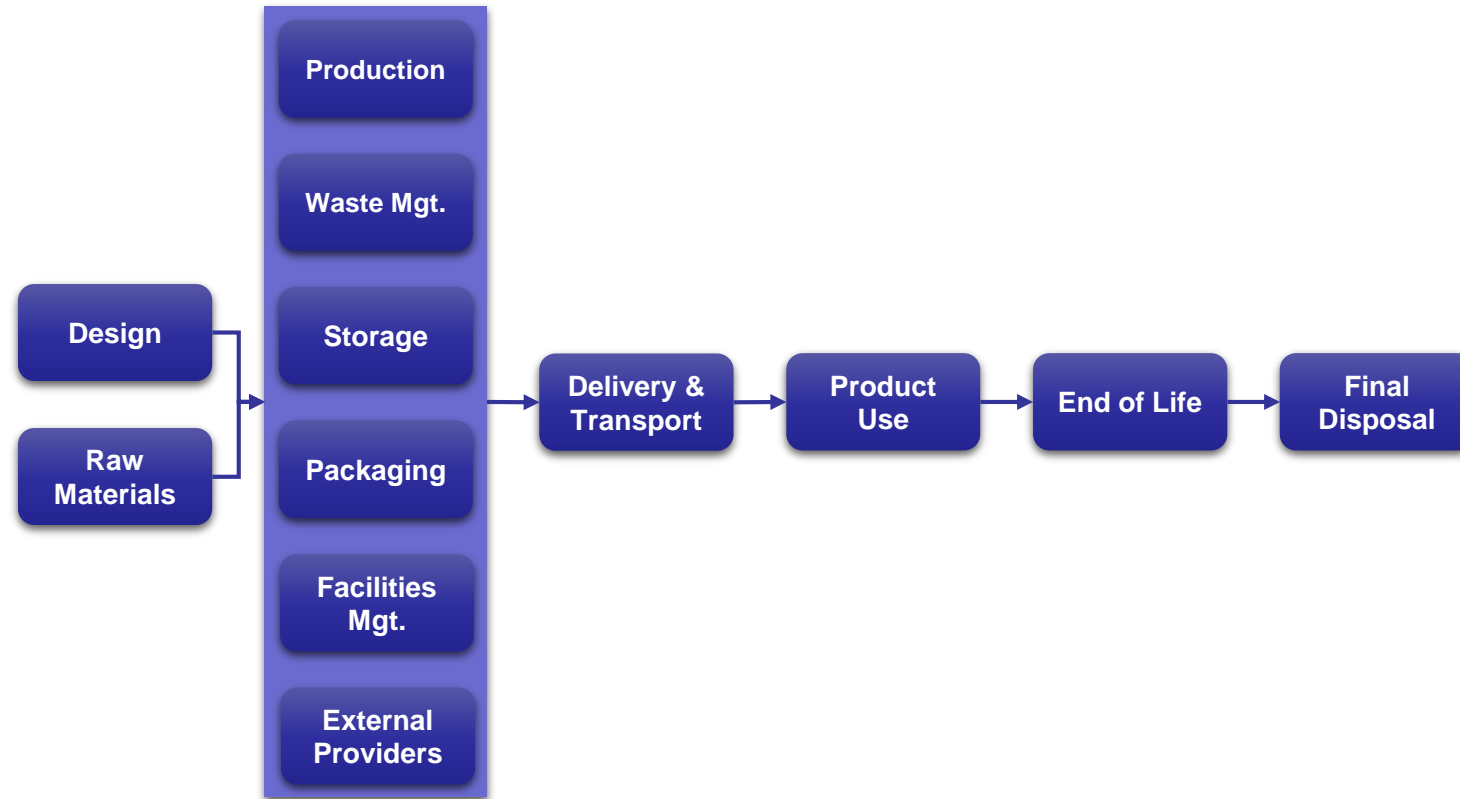
Risk and Opportunities (6.1.1) – Best Practice

- Conduct analysis and develop actions:



Life Cycle Approach (6.1.2)

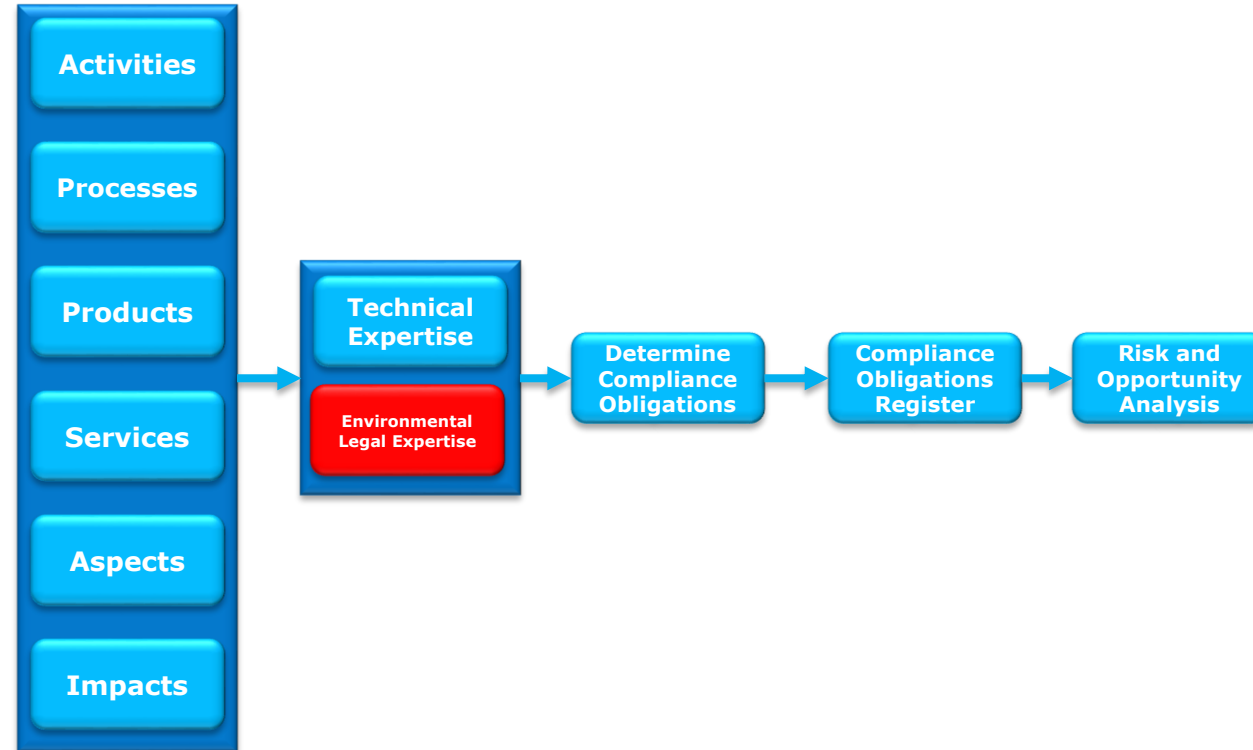
- Consider life cycle stages that can be controlled or influenced



- Best practice:
 - Do this before conducting the Risk and Opportunity Analysis

Compliance Obligations (6.1.3)

- Identify all applicable requirements:
 - Legal
 - Other Requirements (external and internal)
 - Consider Life Cycle Implications
- Best Practice:



Summary

- Much remains unchanged (reworded)
- Key Changes:
 - Organizational Context
 - Risks and Opportunities
 - Life Cycle
 - Compliance Obligations

Questions?

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